### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Taichi AKIBA

Title:

OPTICAL PICKUP DEVICE

Appl. No.:

Unassigned

International

03/17/2005

Filing Date:

371(c) Date:

09/18/2006

Examiner:

Unassigned

Art Unit:

Unassigned

Confirmation

Unassigned

Number:

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.



#### TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

#### RELEVANCE OF EACH DOCUMENT

The relevance of non-English language document A5 is described in the present specification. A partial English translation is provided.

Documents A1-A4 listed on the attached PTO/SB/08 were cited as being relevant during a search of the corresponding International application. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Unless otherwise indicated, no English translation is readily available (not considering machine-generated translations that may be freely available online, to both the Applicant and the PTO) for each of the non-English language documents. However, a commercially available English language abstract is provided herewith, where indicated on the attached Form PTO/SB/08. Inasmuch as Applicants have endeavored to provide at least one item that complies with the requirement for a "concise explanation of relevance" for each of the non-English language documents, each of these documents has been submitted in compliance with the PTO requirements and should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

## 10/593114 IAP9/Rec'd PCT/PTO 18 SEP 2006

Atty. Dkt. No. 046262-0142

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date September 18, 2006

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APO/Rec'd PCT/PTO 18 SEP 2006

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

number.

Substitute for form 1449B/PTO Complete if Known INFORMATION DISCLOSURE **Application Number** Unassigned STATEMENT BY APPLICANT **Filing Date** 09/18/2006 **First Named Inventor** Taichi AKIBA Date Submitted: September 18, 2006 Group Art Unit Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned 046262-0142 Sheet of 1 **Attorney Docket Number** 

				U.S. PATENT DOCUMENTS	}		
Examiner Initials*		U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant	
	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
					1	-	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document				Date of Publication of Cited Document	Pages, Columns, Lines, Where	
		Offic	e <sup>3</sup> Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Passag Relev	Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
/MB/	A1	JP	2003-157561	Α	SONY CORP.	05-30-2003		Abst.
/MB/	A2	JP	8-287499	Α	RICOH CO., LTD.	11-01-1996		Abst.
/MB/	А3	JP	9-185835	Α	MATSUSHITA ELECTRIC IND. CO., LTD.	07-15-1997		Abst.
	A4	JP	2004-310965	Α	SONY CORP.	11-04-2004		Abst.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T⁰			
/MB/	A5	"Development of DVD-R Pick Up," Pioneer Corporation Engineering Information Journal, Pioneer R&D, Vol. 7, No. 1, 1997, pages 26-29, partial translation.				

			<u> </u>
Examiner Signature	/Mark Blouin/	Date Considered	09/16/2008

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.